



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

-----: Atty. Ref.: UFOZ-P1
In Re U.S. Patent Application Of:

:
JOHN K. SANDERS, JR., J. KENNETH SANDERS
:

Application No.: ~~10/039,351~~ 10/666,936 (reassigned)
: Art Unit: 3644

Filed: January 7, 2002
:

Title: VTOL UFO
:

-----: Dec. 10, 2003

REQUEST TO CORRECT INVENTORSHIP IN U.S. PATENT APPLICATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This is to request correction of inventorship under 35 U.S.C. 116, and 37 C.F.R. 1.48(a), in U.S. Patent Application 10/039,351 ~~10/039,351~~ 666,936 (re-assigned).

U.S. Patent Application No. 10/039,351, entitled "VTOL UFO" (a/k/a "Quiet Vertical Take Off & Landing (VTOL) Aircraft"), was filed on January 7, 2002, in the names of John K. Sanders, Jr., and J. Kenneth Sanders. The application was subsequently re-assigned by the USPTO as Design Serial No. 29/157,603, and went abandoned due to failure to file certain missing parts, but it is being restored and revived concurrently herewith as Utility Serial No. ~~10/039,351~~ 10/666,936. Co-inventors Arturo F. Aviles and Arturo Aviles, Jr., were omitted from the application through error, and such error arose without any deceptive intention on the part of the currently named inventors or the omitted inventors, as shown in the accompanying statements.

This request is accompanied by:

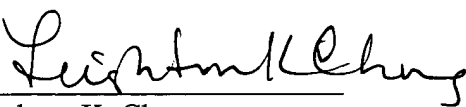
1. Statement of inventor to be added, Arturo F. Aviles

2. Statement of inventor to be added, Arturo Aviles, Jr.
3. Statement of currently named inventor, John K. Sanders, Jr.
4. Statement of currently named inventor, J. Kenneth Sanders
5. Fully executed Declaration of correct Inventors
6. Copy of Power of Attorney appointing attorney to prosecute application, as previously submitted in application (by facsimile to Examiner Deshmukh, Group 2912)
7. Processing fee of \$130, under 37 C.F.R. 1.17(i).

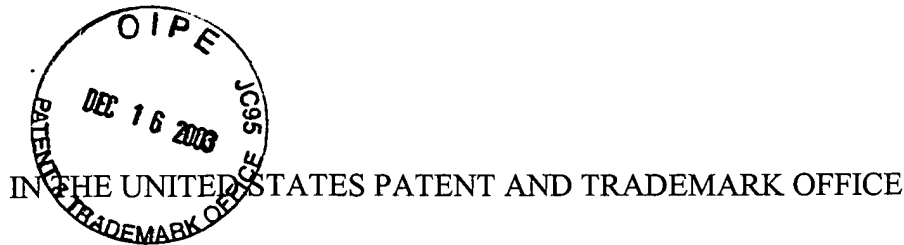
Please send all correspondence concerning this Request to the undersigned Attorney for Applicants.

This Request is filed with our check payment of \$130.00 for the processing fee. However, if any other fees are deemed to be due for acceptance of this Request, authorization is hereby given to charge our Deposit Account No. 502633.

Respectfully submitted,
ATTORNEYS FOR APPLICANT



Leighton K. Chong
USPTO Reg. No. 27,621
OSTRAGER CHONG & FLAHERTY (HAWAII)
841 Bishop Street, Suite 1200
Honolulu, HI 96813-3908
Tel: (808) 533-4300 (HST 6 hrs < EST)



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Filed: January 7, 2002
:
Title: VTOL UFO
-----:

VERIFIED STATEMENT OF INVENTOR TO BE ADDED TO INVENTORSHIP

I, the undersigned, ARTURO^{JR} AVILES, do hereby declare:

1. I am submitting this Statement in support of the within Request To Correct Inventorship In U.S. Patent Application.

2. U.S. Patent Application No. 10/039,351, entitled "VTOL UFO" (a/k/a "Quiet Vertical Take Off & Landing (VTOL) Aircraft"), was filed on January 7, 2002, in the names of John K. Sanders, Jr., and J. Kenneth Sanders.

3. I have had extensive background, training, and employment for many years in aeronautical flight and control systems. In the period prior to January 7, 2002, I and my son ^F Arturo Aviles, ~~Jr.~~, had numerous discussions with John K. Sanders, Jr., and J. Kenneth Sanders in brainstorming sessions regarding "Quiet Vertical Take Off & Landing (VTOL) Aircraft", which is the subject matter of the above-identified U.S. Patent Application.

4. In the course of further working out the invention, John K. Sanders, Jr., and

J. Kenneth Sanders conducted extensive background research on prior devices and feasibility studies of the invention, and prepared detailed written explanations and design drawings of alternative embodiments and other materials to document the invention in full detail. John K. Sanders, Jr., and J. Kenneth Sanders filed these written materials and designs pro se as U.S. Patent Application No. 10/039,351 on January 7, 2002. In subsequent discussions, John K. Sanders, Jr., and J. Kenneth Sanders suggested that I and my son Arturo Aviles, ^F~~Mr.~~^{aa}, should also be named as co-inventors. They confirmed this with patent attorney, Leighton K. Chong, who was retained to assist with the patent prosecution before the U.S. Patent Office in Spring 2003.

5. I believe that I was omitted as an inventor in U.S. Patent Application No. 10/039,351 through error, and without any deceptive intention on my part.

6. I declare that all statements made of my own knowledge are true and that all statements made upon information and belief are believed to be true, and that I am aware that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing therefrom.

Dated: Sept 7., 2003


ARTURO ~~X~~ AVILES, JR. ^{aa}



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

-----: Atty. Ref.: UFOZ-P1
In Re U.S. Patent Application Of:

JOHN K. SANDERS, JR., J. KENNETH SANDERS

Application No.: ~~10/039,351~~ 10/666,936

Filed: January 7, 2002

Title: VTOL UFO
-----:

VERIFIED STATEMENT OF INVENTOR TO BE ADDED TO INVENTORSHIP

I, the undersigned, ARTURO AVILES, ^F~~JR.~~^u, do hereby declare:

1. I am submitting this Statement in support of the within Request To Correct Inventorship In U.S. Patent Application.

2. U.S. Patent Application No. 10/039,351, entitled "VTOL UFO" (a/k/a "Quiet Vertical Take Off & Landing (VTOL) Aircraft"), was filed on January 7, 2002, in the names of John K. Sanders, Jr., and J. Kenneth Sanders.

3. I have had substantial background, training, and employment in aeronautical flight and control systems. In the period prior to January 7, 2002, I and my father Arturo ~~K.~~^u Aviles, ^{JR} had numerous discussions with John K. Sanders, Jr., and J. Kenneth Sanders in brainstorming sessions regarding "Quiet Vertical Take Off & Landing (VTOL) Aircraft", which is the subject matter of the above-identified U.S. Patent Application.

4. In the course of further working out the invention, John K. Sanders, Jr., and

J. Kenneth Sanders conducted extensive background research on prior devices and feasibility studies of the invention, and prepared detailed written explanations and design drawings of alternative embodiments and other materials to document the invention in full detail. John K. Sanders, Jr., and J. Kenneth Sanders filed these written materials and designs pro se as U.S. Patent Application No. 10/039,351 on January 7, 2002. In subsequent discussions, John K. Sanders, Jr., and J. Kenneth Sanders suggested that I and my father, Arturo ^{JK} ~~X~~ Aviles, should also be named as co-inventors. They confirmed this with patent attorney, Leighton K. Chong, who was retained to assist with the patent prosecution before the U.S. Patent Office in Spring 2003.

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6. I declare that all statements made of my own knowledge are true and that all statements made upon information and belief are believed to be true, and that I am aware that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing therefrom.

Dated: Nov. 25, 2003


ARTURO AVILES, ~~JK~~, ^{JK} ~~ae~~
F ₇₄₄



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

-----: Atty. Ref.: UFOZ-P1
In Re U.S. Patent Application Of:

JOHN K. SANDERS, JR., J. KENNETH SANDERS

Application No.: ~~10/039,351~~ 10/666,936

Filed: January 7, 2002

Title: VTOL UFO
-----:

VERIFIED STATEMENT OF CURRENTLY NAMED INVENTOR
TO ADD INVENTOR TO INVENTORSHIP

I, the undersigned, JOHN K. SANDERS, JR., do hereby declare:

1. I am submitting this Statement in support of the within Request To Correct Inventorship In U.S. Patent Application.

2. U.S. Patent Application No. 10/039,351, entitled "VTOL UFO" (a/k/a "Quiet Vertical Take Off & Landing (VTOL) Aircraft"), was filed on January 7, 2002, in the names of John K. Sanders, Jr., and J. Kenneth Sanders.

3. I have had substantial background, training, and employment in graphic design and computerized design systems. In the period prior to January 7, 2002, I and my father, J. Kenneth Sanders, had numerous discussions with Arturo F. Aviles and Arturo Aviles, Jr., in brainstorming sessions regarding "Quiet Vertical Take Off & Landing (VTOL) Aircraft", which is the subject matter of the above-identified U.S. Patent Application.


4. In the course of further working out the invention, I and my father, J. Kenneth Sanders, conducted extensive background research on prior devices and feasibility studies of the invention, and prepared detailed written explanations and design drawings of alternative embodiments and other materials to document the invention in full detail. We filed these written materials and designs *pro se* as U.S. Patent Application No. 10/039,351 on January 7, 2002. The application was subsequently re-assigned by the USPTO as Design Serial No. 29/157,603, and went abandoned due to our failure to file certain missing parts, but it is being restored and revived as Utility Serial No. 10/039,351. In subsequent discussions, we suggested to Arturo F. Aviles and Arturo Aviles, Jr., that they should also be named as co-inventors. We confirmed this with patent attorney, Leighton K. Chong, whom we retained to assist with the patent prosecution before the U.S. Patent Office in Spring 2003.

5. I believe that Arturo F. Aviles and Arturo Aviles, Jr., were omitted as inventors in U.S. Patent Application No. 10/039,351 through error, and without any deceptive intention on my part.

6. I agree to the addition of Arturo F. Aviles and Arturo Aviles, Jr., to the inventorship of U.S. Patent Application No. 10/039,351.

7. I declare that all statements made of my own knowledge are true and that all statements made upon information and belief are believed to be true, and that I am aware that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing therefrom.

Dated: Sept. 17, 2003



JOHN K. SANDERS, JR.,



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In Re U.S. Patent Application Of:
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JOHN K. SANDERS, JR., J. KENNETH SANDERS
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Application No.: ~~10/039,351~~ 10/666,936
:
Filed: January 7, 2002
:
Title: VTOL UFO
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VERIFIED STATEMENT OF CURRENTLY NAMED INVENTOR
TO ADD INVENTOR TO INVENTORSHIP

I, the undersigned, J. KENNETH SANDERS, do hereby declare:

1. I am submitting this Statement in support of the within Request To Correct Inventorship In U.S. Patent Application.
2. U.S. Patent Application No. 10/039,351, entitled "VTOL UFO" (a/k/a "Quiet Vertical Take Off & Landing (VTOL) Aircraft"), was filed on January 7, 2002, in the names of John K. Sanders, Jr., and J. Kenneth Sanders.
3. I have had substantial background, training, and employment in business management and new business development. In the period prior to January 7, 2002, I and my son, John K. Sanders, Jr., had numerous discussions with Arturo F. Aviles and Arturo Aviles, Jr., in brainstorming sessions regarding "Quiet Vertical Take Off & Landing (VTOL) Aircraft", which is the subject matter of the above-identified U.S. Patent Application.

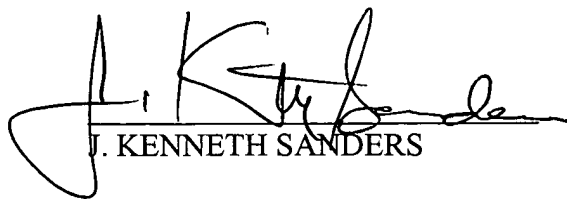
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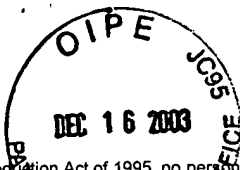
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6. I agree to the addition of Arturo F. Aviles and Arturo Aviles, Jr., to the inventorship of U.S. Patent Application No. 10/039,351.

7. I declare that all statements made of my own knowledge are true and that all statements made upon information and belief are believed to be true, and that I am aware that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing therefrom.

Dated: 9/11, 2003


J. KENNETH SANDERS



PTO/SB/01 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**



Declaration
Submitted
With Initial
Filing

OR



Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e))
required)

Attorney Docket Number	UFOZ-P1
First Named Inventor	John K. Sanders, Jr.
COMPLETE IF KNOWN	
Application Number	10/039,361 10/666,936
Filing Date	Jan. 7, 2002
Art Unit	3644
Examiner Name	

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

VTOL UFO

(Title of the Invention)

the specification of which



is attached hereto

OR



was filed on (MM/DD/YYYY)

January 7, 2002

as United States Application Number or PCT International

Application Number

~~10/039,361~~

reassigned as 10/666,936

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				Yes	No
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

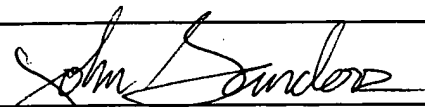

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

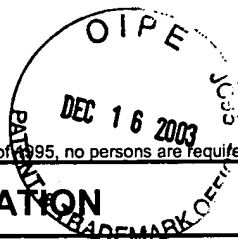
[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

DECLARATION — Utility or Design Patent Application

Direct all correspondence to: <input checked="" type="checkbox"/> Customer Number: 26793		OR <input checked="" type="checkbox"/> Correspondence address below	
Name LEIGHTON K. CHONG, FIRM OF OSTRAGER CHONG & FLAHERTY (HAWAII)			
Address 841 Bishop Street, Suite 1200			
City Honolulu	State HI	ZIP 96813	
Country USA	Telephone 808-533-4300	Fax 808-531-7585	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.			
NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any]) John K., Jr.		Family Name or Surname SANDERS	
Inventor's Signature 		Date 9/17/03	
Residence: City Costa Mesa	State CA	Country USA	Citizenship USA
Mailing Address 2775 Mesa Verde East, Apt. C-212			
City Costa Mesa	State CA	ZIP 92626	Country USA
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any]) J. Kenneth		Family Name or Surname SANDERS	
Inventor's Signature 		Date 9/11/03	
Residence: City Kailua, Oahu	State HI	Country USA	Citizenship USA
Mailing Address 269 Kaelepu Drive			
City Kailua, Oahu	State HI	ZIP 96734	Country USA
<input type="checkbox"/> Additional inventors or a legal representative are being named on the _____ supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.			



PTO/SB/02A (08-03)

Approved for use through 08/31/2003. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION**ADDITIONAL INVENTOR(S)**

Supplemental Sheet

Page 2 of 2

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Arturo, Jr.		AVILES	
Inventor's Signature <i>Arturo Aviles Jr.</i>		Date <i>10-7-03</i>	
Huntington Beach Residence: City	CA State	USA Country	USA Citizenship
9841 Mammoth Drive Mailing Address			
Mailing Address			
Huntington Beach City	CA State	92646 Zip	USA Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Arturo F.		AVILES	
Inventor's Signature <i>Arturo Aviles Jr.</i>		Date <i>11-25-03</i>	
Huntington Beach Residence: City	CA State	USA Country	USA Citizenship
9581 Zetland Drive Mailing Address			
Mailing Address			
Huntington Beach City	CA State	92646 Zip	USA Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	Zip	Country

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